

業務推動要項 Business Development Items

在主管機關的督導下，本公司依循年度業務計畫，推動各項業務，以促進證券市場蓬勃發展，列舉重大業務執行情形如下：

Under the supervision of the competent authority, the TWSE pushed ahead with various work plans in compliance with our annual business plan to facilitate the development of the Taiwan securities market. A number of major businesses have been undertaken as illustrated below:



本公司於日本東京辦理前進臺灣資本市場說明會

A Briefing Session on Advancing Taiwan's Capital Market Held by the TWSE in Tokyo, Japan

>> 壹、發行市場業務

一、擴大證券市場規模

- (一) 2019 年國內發行公司申請股票上市者計有 14 家，新增上市掛牌計有 16 家，外國發行公司申請股票上市者計有 6 家，新增上市掛牌計有 3 家。
- (二) 為開放大型無獲利上市條件或取得目的事業主管機關意見書之初次申請股票上市案，得引進基石投資人，修正本公司「初次申請有價證券上市公開說明書應行記載事項準則」第 11 條及第 12 條並函報主管機關核備後，於 2019 年 1 月 29 日公告實施。

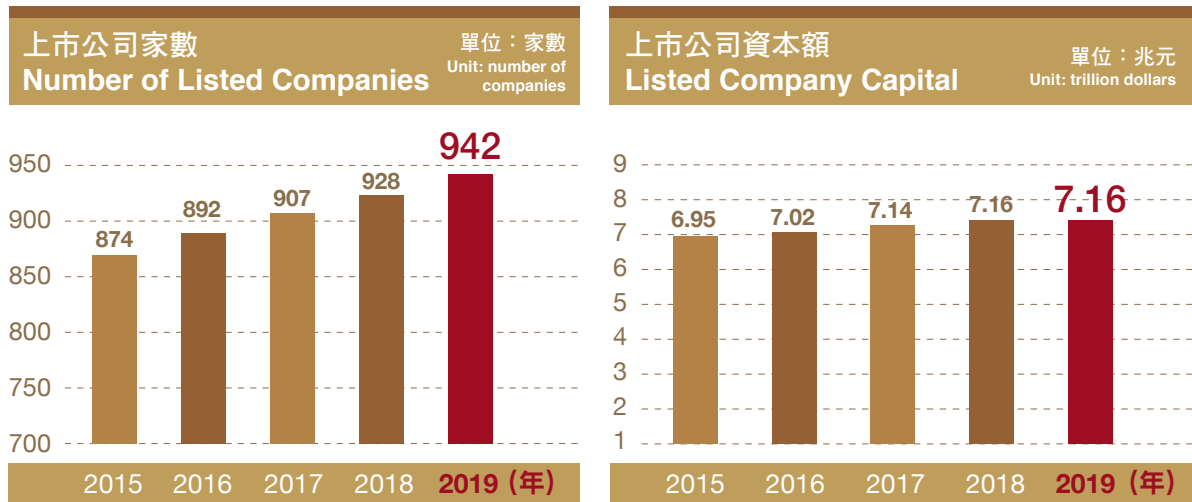
(三) 推動宣導

1. 赴中國大陸、馬來西亞、泰國、柬埔寨、越南、印尼、日本、美國等地舉辦前進臺灣資本市場說明會，共有約 310 位代表出席，並實地拜訪 45 家當地企業，增加本公司國際曝光度，行銷我國資本市場，並推動國外臺商回臺上市。
2. 除自行辦理「推動優質企業上市座談會」，亦與勤業眾信等四大會計師事務所及元大證券等 6 家證券承銷商合辦計 12 場次。

>> I. Issuance Market Business

1. Expand the Scope of the Securities Market

- 1) In 2019, 14 domestic issued companies applied for stock listing and there were 16 newly listed companies. Six foreign issued companies applied for stock listing and there were three newly listed companies.
- 2) In order to open up listing conditions for large-scale for not-for-profit organizations or to obtain a competent authority opinion for business objectives for an initial application for stock listing, cornerstone investors may be introduced, amending Articles 11 and 12 of the Taiwan Stock Exchange Corporation Rules Governing the Particulars to be Recorded in Prospectuses for Initial Securities Listing Applications". This was reported to the competent authority for verification and was implemented on January 29, 2019.
- 3) Promote advocacy
 - (1) The TWSE travelled to Mainland China, Malaysia, Thailand, Cambodia, Vietnam, Indonesia, Japan, the United States and other countries to hold briefing sessions on the advancement of Taiwan's capital market. A total of around 310 representatives attended and 45 local companies were visited to increase TWSE's international exposure, showcase our capital market, and promote the return of Taiwanese businesses to list in Taiwan.
 - (2) In addition to the "Seminar Promoting the Listing of High-Quality Enterprises", the TWSE also co-organized a total of 12 sessions with four major accounting firms such as Deloitte Touche Tohmatsu Limited, and six securities underwriters including Yuanta Securities.



二、新上市金融商品

- (一) 2019 年計有 16 檔 ETF 上市，包含國內首檔完整涵蓋 E（環境）、S（社會）、G（治理）構面的臺灣 ESG 永續 ETF，10 檔海外股票 ETF 滿足布局 AI、5G 等科技主題或特定海外股票市場的需求，3 檔海外債券 ETF 提供穩健收益投資機會，及 2 檔槓桿型股票 ETF 可作為短期交易工具。
- (二) 2019 年首批 ETN 共 7 檔，於 4 月 30 日掛牌進行交易，包含 6 檔臺股指數 ETN 及 1 檔海外指數 ETN。截至 12 月 31 日，富邦、元富、元大、凱基、兆豐、永豐金、華南永昌、統一等 8 家證券商已發行 9 檔上市 ETN。

2. Newly Listed Financial Commodities

- 1) Sixteen ETFs were listed in 2019, including the first sustainable ETF in Taiwan to fully encompass the ESG facets of E(environmental), S(social), G(governance), 10 overseas stock ETFs fulfilling technology themes such as AI and 5G or specialty overseas market needs, three international bond ETFs providing stable profit opportunities, and two leveraged ETFs as short term trading tools.

- 2) There were seven ETNs in the first batch of 2019 listed for trading on April 30, including six Taiwan index ETNs and one overseas index ETN. As of December 31, eight securities brokers including Fubon, Masterlink, Yuanta, KGI, Mega, SinoPac, Hua Nan, and President have issued nine listed ETNs.



元大臺灣 ESG 永續 ETF 基金上市掛牌典禮
Yuanta Taiwan ESG Perpetual ETF Listing Ceremony

三、強化上市公司管理與服務

- (一) 分別辦理國內上市公司、外國上市公司重大訊息之查證與公開計 28,976 件、4,640 件，重大訊息抽查計 260 家次、65 家次，並辦理 189 家次之國內上市公司重大訊息說明記者會。
- (二) 辦理公司治理評鑑，推動重要公司治理措施；整合周邊單位、民間機構及媒體之資源，共同辦理公司治理相關活動；協助上市公司提升非財務性資訊之揭露品質，並研議於年報揭露投資人較為關注之非財務性資訊；蒐集分析「機構投資人盡職治理守則」簽署人之落實情形，協助其履行盡職責任並適當揭露。
- (三) 推動上市公司採用 XBRL 申報財務業務資訊，舉辦 3 場宣導會，協助公開發行公司瞭解相關申報規定與作業；完成 iXBRL 建檔工具、申報系統，及公開資訊觀測站相關揭示內容之調整，上市公司已順利完成自 2019 年第 1 季正式採用 iXBRL 申報之財務報告作業。

3.Reinforce Management and Service of Listed Firms

- 1) 28,976 domestic listed and 4,640 foreign listed company major information inspections and public disclosures, 260 domestic listed and 65 foreign listed company random material information inspections, and 189 domestic listed company material information disclosure press conferences were held.
- 2) The TWSE conducted corporate governance assessments and promoted prominent corporate governance measures; integrated related departments, community institutions and media resources to cohost related corporate governance activities; assisted listed companies to enhance material disclosure quality of non-financial information and discussed the disclosure of non-financial information that investors are more concerned about in the annual report; and, collected and analyzed the implementation of signatories to the "Stewardship Principles for Institutional Investors", assisting them in performing due diligence and appropriate disclosure.
- 3) The TWSE promoted the use of XBRL by listed companies to report financial business information, holding three promotional meetings to assist public companies to understand relevant reporting requirements and operations; completed iXBRL filing tools, reporting systems, and adjustments to relevant disclosure content on the Market Observation Post System. iXBRL was officially adopted for filings in the first quarter of 2019, and was successfully completed by listed companies.



上市上櫃公司第五屆公司治理評鑑頒獎典禮
The Fifth Listed Company Corporate Governance
Evaluation Award Ceremony



「永續發展趨勢，再造新收益」研討會
The Seminar on "Sustainable Development
Trends to Create New Income Once More"

>> 貳、交易市場業務

一、市場改革

- (一) 逐筆交易擬真平台自 2019 年 3 月 25 日正式啟用，4 月 1 日起舉辦擬真平台投資人獎勵活動，並利用電視宣導短片、微電影、擬真平台操作流程介紹、宣導海報及投資人宣導手冊等，全面對投資大眾進行宣導。
- (二) 為增加投資人盤中交易時段買賣零股管道，便利小資族群投資，規劃於盤中時段可進行零股競價交易。
- (三) 為健全集中市場財務安全防衛機制，推動證券市場實施交割保證金及調整交割結算基金制度。
- (四) 配合主管機關政策，積極與聯徵中心合作，協調證券商公會及銀行公會，研議投資人於證券業及銀行業負面信用資料具體交換方式，有助強化銀行業及證券業風險控管能力。本公司協助聯徵中心，完成可行性方案陳報主管機關。

>> II. Trading Market Business

1. Market Reform

- 1) The continuous trading immersive platform was officially launched on March 25, 2019. From April 1, immersive investor platform incentive activities were held. Television short clips, micro-movies, immersive platform operation process introductions, publicity posters and investor brochures were utilized as publicity to the investing public at large.
- 2) In order to increase the channel for investors to buy and sell odd lots during intraday trading hours and facilitate the investment of small capital demographics, it is planned to conduct odd-lot auctions during intraday hours.
- 3) The TWSE promoted the implementation of settlement margins system in the securities market and adjustments to the "Joint Settlement and Clearing Fund" (JSCF) in order to strengthen the financial security defense mechanism of the centralized market.
- 4) The TWSE cooperated with the policies of the competent authority, pro-actively cooperated with the Joint Credit Information Center, coordinated with the securities and banking associations. This included studying the specific methods of information exchange regarding negative credit information between investors and the securities

and banking industries to help strengthen the banking and securities industries' risk control capacity. The TWSE assisted the Joint Credit Information Center in completing a feasibility plan and reporting to the competent authority.



逐筆交易擬真平台啟用記者會

Continuous Trading Immersive Platform Press Conference

二、證券商管理

- (一) 2019 年 1 月 7 日起，為使證券商免除作業困難及不便，開放證券商申報可歸責於專業機構投資人之更正帳號，得免提示更正帳號申請書。
- (二) 修正「證券商公司治理實務守則」第 24 條，自 2019 年 1 月 14 日起，證券商之獨立董事任期不得連任逾三屆。
- (三) 強化證券商負責人及大股東消極資格及資金來源查核，達成防制洗錢金融行動工作組織（FATF）效能評鑑之控管要求。
- (四) 為維護證券商員工權益，增訂證券商總公司、分支機構終止營業（含受撤銷營業許可）暨證券商遷移營業處所時，應檢具「員工安置計畫」、公司與企業工會協商會議紀錄或勞資會議紀錄，並於 2019 年 4 月 16 日公告實施。
- (五) 2019 年 9 月 4 日為合理化表達證券商之合格自有資本，開放證券商申報其採權益法子公司持有「透過其他綜合損益按公允價值衡量之金融資產」時，得免從自有資本中扣除，轉於市場風險中計提。
- (六) 2019 年 9 月 19 日起，證券商負責人兼任其他公司負責人，並代表其他公司於該證券商辦理開戶及買賣有價證券者，強化避免利益衝突之具體規範。

- (七) 配合主管機關開放證券商轉投資私募股權基金，暨修正證券商轉投資外國事業相關規範，修改證券商申報單一窗口「證券商轉投資事業系統」，於 2019 年 9 月 20 日完成上線。
- (八) 「配合內政部推動原住民姓名並列羅馬拼音規劃」案，修改證券商申報單一窗口人員登記、月計表及資本適足涉及姓名申報項目功能，於 2019 年 9 月 30 日完成上線。
- (九) 2019 年 12 月 27 日起，開放線上開戶確認委託人身分方式之新型身分識別及調整受託買賣額度等多元化認證機制。

2. Broker Management

- 1) From January 7, 2019, in order to avoid practical difficulty and inconvenience for brokers, securities broker declarations can be attributed to the corrected account of professional institutional investors with the account correction application form exempted.
- 2) The TWSE amended Article 24 of the "Corporate Governance Best-Practice Principles for Securities Firms". From January 14, 2019, the term of an independent director of a securities broker shall not exceed three consecutive terms.



本公司於行政院舉辦之「洗錢防制評鑑發表暨表揚大會」獲獎

The TWSE was an Award Winner at the "Money Laundering Prevention Evaluation and Recognition Conference" Held by the Executive Yuan

- 3) The TWSE strengthened the negative credit ratings and source of funds qualification inspections of securities brokers' responsible persons and major shareholders to meet the control requirement evaluation of the Financial Action Task Force (FATF).
- 4) In order to maintain the rights and interests of securities broker employees, when a securities brokers' head office or branches stop operating (including business license revocations) and the securities broker relocates its business premises, they should adhere to the "Employment Placement Plan", consultation meeting minutes between the company and the trade association, or labor-management meeting minutes. This was announced and effective on April 16, 2019.
- 5) From September 4, 2019, in order for securities brokers to rationally account for eligible regulatory capital, securities brokers are exempt from regulatory capital deductions when declaring an equity-based subsidiary's "financial assets measured at fair value through other comprehensive income", accruing instead to market risk.
- 6) From September 19, 2019, specific regulations to avoid conflicts of interest were strengthened in regards to securities broker responsible persons who are also responsible persons of other companies, open accounts and trade securities on behalf of other companies.
- 7) The TWSE cooperated with the competent authority to allow securities brokers to make equity investment in private equity funds, and amended the relevant regulations of securities dealers' equity investment in foreign business, and revised the single window of the securities brokers' declaration of "Securities Brokers Equity Investment Business System". This went online on September 20, 2019.
- 8) The TWSE completed and launched the "Cooperating with the Ministry of the Interior to promote Aboriginal names and Pinyin Romanization Plan" on September 30, 2019, amending the registration of a single contact window in the securities broker' declaration, monthly statements, and capital adequacy and name registration functions.
- 9) From December 27, 2019, multi-faceted authentication mechanisms were allowed, such as a new type of identity recognition for online account opening principal identification methods and trading limit adjustments.

三、維持市場秩序

- (一) 市場監視作業：2019 年度公布注意交易資訊之有價證券計 365 種 1,506 次，採取處置措施者計 33 種 49 次。另於交易時間即時對新聞報導及網路訊息進行監看，發現影響上市公司股票價格之重大訊息並請其公告澄清者計 10 次，執行跨市場監視資訊通報計 12 次。
- (二) 電視投資理財節目之側錄、監看情形：2019 年度側錄檢視約 2,129 個電視投資理財節目。節目分析人員言論明顯涉有違規情事已陳報主管機關卓處者，計有 33 件、31 人次。

3.Maintain Market Order

- 1) Market surveillance: In 2019, there were 365 kinds of securities and 1,506 times with announcement or notice of attention to trading information, and 33 disposition measure types taken 49 times. Furthermore, monitoring of news reports and internet messages during real-time trading found 10 cases where material information affected listed companies' share prices and requests were issued to the listed companies for clarification. There were 12 information reports conducted on cross-market surveillance.
- 2) Recording and monitoring investment and wealth management television programs: In 2019, 2,129 such programs were recorded and reviewed. Thirty-one program analysts were reported to the competent authority 33 times for making comments that clearly violated regulations.



>> 參、電腦應用與資訊服務

一、系統升級

- (一) 配合逐筆交易之推動，建置逐筆交易擬真平台與網路環境、「逐筆交易獎勵活動暨問卷網頁」、「逐筆交易意見回饋網頁」，讓投資人體驗逐筆交易之規則及提高其意願。
- (二) 為因應有價證券買賣單價得至萬元以上，及證券商發展多元化交易商品小數點位數之需求，分階段逐步調整集中交易市場所有系統價格欄位長度，於 2020 年 3 月完成。
- (三) 配合國家資通安全政策導入政府組態基準（GCB）win2012r2 版，重要設定已完成，將持續精進後續部份。
- (四) 完成對外服務網站使用 RSA 加密方式，連線傳輸全面採用 HTTPS 通訊協定。
- (五) 因應全面逐筆交易實施後，行情資訊大幅增加之業務需求，優化核心路由器的處理效能，完成行情資訊傳輸由混合模式（PIM Sparse-Dense Mode）調整為精簡模式（PIM Sparse Mode），提升本公司、電信公司、證券商及資訊公司之行情資訊傳輸效率，並節省網路設備與線路頻寬使用量。

>> III.Computer Application and Information Services

1.System Upgrade

- 1) The TWSE built a continuous trading immersive platform and internet environment, "continuous trading incentive promotion and questionnaire website" and "continuous trading feedback website" in support of continuous trading promotions, allowing investors to experience continuous trading regulations and increase investor participation willingness.
- 2) The TWSE gradually adjusted in stages the length of the price field of all centralized market systems in response to the requirement that securities unit prices could exceed TWD 10,000 and needs of securities brokers for decimal points in diversified trading product development. Adjustment was completed in March 2020.
- 3) The TWSE introduced the Government Configuration Benchmark (GCB) win2012r2 version in accordance with national information security policy. Important settings have been completed and subsequent sections will continue to be refined.

- 4) The TWSE completed RSA encryption method utilization for external service websites and implementation of HTTPS communication settings for all connection transmissions.
- 5) The TWSE optimized core router processing performance and completed the transfer of market information from PIM Sparse-Dense Mode to PIM Sparse Mode in response to the implementation of comprehensive continuous trading and a great increase in market demand for business information. This improves market information transmission efficiency of the TWSE, telecommunication companies, securities brokers and information companies and economizes network equipment and line bandwidth usage.

二、優化服務

- (一) 為協助境外投資者因應英國脫歐，進行法人借券系統、證券商借貸系統等電腦資料移轉作業，於 2019 年 3 月 4 日正式轉換生效。
- (二) 推廣證券市場資訊服務業務，包括即時交易資訊、延遲資訊、參考資訊、盤後資訊、使用即時資訊編製指數及指數授權等業務，2019 年督導臺灣指數公司辦理 61 份指數授權業務。
- (三) 於官網新增違約公告專區、上市更名新股掛牌申報作業專區、證券商逐筆宣導活動專區、ETN 專區、盤中全面逐筆交易專區及逐筆交易券商活動專區，供投資人點閱。
- (四) 辦理「證券期貨業防制洗錢及打擊資助恐怖主義專區網站」，更新防制洗錢資訊，並增加期貨公會防制洗錢及打擊資助恐怖主義問答集功能需求，宣導防制洗錢政策，並有利我國接受防制洗錢評鑑。
- (五) 推出為手機、平板電腦等瀏覽裝置量身訂作的行動版網站，本年度投資人瀏覽「法規分享知識庫」人數超過 2,960 萬人次。

2. Service Optimization

- 1) The TWSE assisted foreign investors in Brexit response by carrying out computer data transfer operations, such as corporate securities lending systems and securities broker lending systems. Official conversion took effect on March 4, 2019.
- 2) The TWSE promoted securities market information service business, including real-time trading information, delayed trading information, reference information, after-hours information, using real-time information index compilation and index authorization businesses, and more. In 2019, Taiwan index companies were supervised for 61 index authorization services.
- 3) The TWSE added the following areas to the website for investors to read: breach notification, renaming listed shares and new shares listing declaration, securities brokers continuous trading promotional events, ETNs, comprehensive intraday continuous trading, and securities brokers continuous trading activities.
- 4) The TWSE operated "the Anti-Money Laundering and Countering Terrorism Financing of Securities and Futures Sector website", updated anti-money laundering information, and increased the functional requirements of the Futures Association's anti-money laundering and countering terrorism financing questionnaire, advocating anti-money laundering policies conducive to Taiwan's acceptance of anti-money laundering evaluation.
- 5) The TWSE launched a mobile website tailored to browsing devices such as mobile phones and tablets. This year, investors visited the "Rules and Regulations Directory" more than 29.6 million times.

>> 肆、國際事務、推廣宣導及新商品開發

一、國際事務與合作：

- (一) 積極參與國際性證券相關組織會議及事務，如國際證券管理機構組織（IOSCO）、世界交易所聯合會（WFE）、亞洲暨大洋洲交易所聯合會（AOSEF）等國際組織，參與 WFE 統計諮詢、網路安全、永續金融、ETF 交流、金融科技及金融教育等工作小組，以及 AOSEF 永續工作小組，分享我國市場發展經驗及 ESG（Environmental, Social and Governance）推動成果，提升臺灣國際能見度及影響力。



國際證券管理機構組織年會

Annual Meeting of the International Organization of Securities Commissions (IOSCO)

- (二) 鞏固臺灣、日本與韓國交易所三方合作，2019 年 3 月在臺舉辦三方首長會晤，9 月赴日參與 ESG Workshop，推動三方就金融商品、人才交流、共同行銷進一步合作。
- (三) 配合政府新南向政策，積極與越南、馬來西亞、印尼等東協交易所及金融產官學界密切交流，持續就專業技術及新商品等面向積極合作。
- (四) 截至年底，本公司已與國外 30 家交易所簽署 44 件有效備忘錄。

>> IV. International Business, Promotion & Marketing, and New Commodity Development

1. International Business and Cooperation

- 1) The TWSE actively participated in the meetings and affairs of international securities-related organizations, such as the International Organization of Securities Commissions (IOSCO), World Federation of Exchanges (WFE), Asian and Oceanian Stock Exchanges Federation (AOSEF) and other global bodies. The TWSE participated in WFE statistics consultations, cyber security, sustainable finance, ETF exchange, Fintech and financial education working groups, as well as the AOSEF sustainable working group, sharing Taiwan's market development experience and ESG (Environmental, Social and Governance) promotion results and raising Taiwan's international visibility and influence.



日韓交易所首長來臺拜訪

The Heads of the Korean and the Japanese Exchanges Visit Taiwan

- 2) The TWSE consolidated the tripartite cooperation between Taiwan, Japan and the South Korean Exchanges, holding a trilateral meeting in Taiwan in March, and participating in the ESG Workshop in Japan in September, to promote further cooperation in tripartite financial commodities, talent exchange and joint marketing.
- 3) The TWSE cooperated with the government's New Southbound Policy, actively communicating with Vietnam, Malaysia, Indonesia and other ASEAN exchanges, financial industry officials and academic circles, on professional technology and new products.
- 4) The TWSE signed 44 valid Memoranda of Understanding (MOU) with 30 foreign exchanges by the end of the year.

二、推廣宣導與公益

(一) 為提升資本市場動能，除主動爭取機構投資人與上市公司會面外，偕同上市櫃公司積極赴全球金融中心及潛力新市場引資。全年共 11 場海內外引資活動，逾 50 家次之上市櫃公司參與，與超過 800 人次之機構投資人代表進行 4,000 場次以上之會談，吸引國際資金進駐臺股。

(二) 辦理證券投資教育宣導

1. 校園推廣教育：包括全國教師證券知識研習營、校園證券投資智慧王、金融講堂、學校及機關團體參訪服務。

2. 一般投資人推廣教育：包括社區大學理財講座、宣導畫冊—漫畫、製作證券金融宣導 DVD 短片、金融服務愛心公益嘉年華、紅包袋暨吉祥賀卡應景文宣品。
 3. 網路數位族群推廣教育：包括網路闖關有獎徵答活動、投資人知識網、臉書（FB）粉絲專頁、FinTech Taipei 2019 臺北金融科技展。
 4. 一般投資人服務：包括投資人服務專線、提供投資人查詢開戶及交易資料服務。
- （三）2019 年本公司董事會後及針對重要議題（如公司治理評鑑結果、盤中全面逐筆交易等）召開記者會近 15 場，對外發布中英日文新聞稿逾 1,300 則；辦理上市公司重大訊息說明記者會逾 180 場；與國內主要財經媒體共同合作，舉辦 4 場次投資座談會及投資講座。
- （四）辦理公益贊助捐贈
1. 捐贈社福機構扶助經濟弱勢之老人、兒少家庭、身心障礙及疾病治療等弱勢族群，辦理長者送餐、物資救援、醫療照護、社工輔導及經濟救助等活動。
 2. 捐贈國內高中、國中、小學，辦理家庭經濟弱勢學生之餐費、課後照顧、書籍、交通及住宿等就學必須費用。
 3. 配合政府政策及與證券期貨周邊單位共同捐贈金融服務業教育公益基金、屏東縣臺灣燈會、中華民國足球協會國際邀請賽、中華文化總會總統府音樂會、世界婦女庇護安置大會、「金融有愛—食（實）物銀行」、大專生公益專班及偏鄉足球運動等經費。

2.Promote Advocacy and Public Interest

- 1) In order to enhance capital market momentum, in addition to actively seeking for meetings between institutional investors and listed companies, along with listed and over the counter companies, the TWSE has actively attracted investment from global financial centers and potential new markets. There were a total of 11 foreign and domestic capital introduction events throughout the year, with more than 50 listed and over the counter companies participating. The TWSE also held more than 4,000 meetings with institutional investors and more than 800 people to augment international funds to Taiwan stocks.
- 2) Undertake securities investment and education promotion:
 - (1) Promotional education on campus: activities included securities knowledge workshops for teachers nationwide, the TWSE securities investment competition on campus, financial lectures, school and organization visits.

- (2) Promotional education for general investors: including wealth management lectures in community colleges, cartoons, DVD clips, Financial Services Charity Carnival, red envelopes and cards, and other events.
 - (3) Promotional education for Internet digital groups: including internet Q&A with prices, "Investors Knowledge Network" website, FB fan page, and "FinTech Taipei 2019".
 - (4) General investor service: including a service hotline for investors, inquiring about account opening and trading information.
- 3) The TWSE held about 15 press conferences for each board meeting and for significant issues. The issues involved included corporate governance evaluation results, intraday continuous trading, and others, and 1,300 press releases were issued in Chinese, English and Japanese. The TWSE held over 180 press conferences for domestic listed firms regarding material information, and cooperated with major domestic finance media to hold four investment forums and investment seminars.
- 4) Public welfare donations
- (1) Donated to social welfare institutions to support disadvantaged demographic groups such as the elderly, young families, physically and mentally disabled, and those under medical treatment, handling activities such as delivering meals for the elderly, resource provisions, medical care, social worker counseling and financial aid.
 - (2) Donated to Taiwan's high schools, middle schools and elementary schools to assist in meal fees, afterschool care, books, transportation and accommodation, and more for students from economically disadvantaged families.
 - (3) Cooperated with government policies and, in partnership with the securities and futures related units, donated to and funded such activities as the "Financial Services Public Welfare Education Fund", the "Pingtung County Taiwan Lantern Festival", the "Chinese Taipei Football Association International invitational", the "General Association of Chinese Culture Presidential Office Concert", the "World Conference of Women's Shelters", "Financial love- (real) food bank", special public welfare classes for college students and rural township soccer and exercise.

三、新商品開發及推廣

- (一) 2019 年 3 月 28 日赴香港接受亞洲資產管理雜誌 (Asia Asset Management) 頒贈「最佳 ETF 交易所」獎項；9 月 20 日參與韓國交易所於首爾舉辦之「2019 全球 ETP 論壇」並擔任「亞洲 ETP 市場發展」小組座談之與談人，與韓國、日本、香港、新加坡等亞洲交易所代表交流，行銷臺灣 ETP 市場。
- (二) 辦理證券商 ETP 交易及造市競賽、製作 ETN 短片、舉辦臺股 ETF 投資宣導會，與那斯達克交易所 (NASDAQ) 及恒生指數公司共同舉辦論壇，並出席國內、香港、日本、韓國等地之 ETF 研討會。

3. Development and Promotion of New Commodities

- 1) On March 28, 2019, the TWSE accepted the "Best ETF Exchange" award presented by Asia Asset Management Magazine in Hong Kong; participated in the "2019 Global ETP Forum" organized by the Korean Exchange in Seoul on September 20, and also served as the interviewer of the "Asia ETP Market Development" panel, communicating with representatives of Asian exchanges from South Korea, Japan, Hong Kong, and Singapore to showcase the Taiwan ETP market.
- 2) The TWSE handled ETP transactions and market-making competition for securities dealers, produced ETN short films, held Taiwan stock ETF investment promotion conferences, co-hosted forums with NASDAQ and the Hang Seng Index, and attended ETF seminars in Taiwan, Hong Kong, Japan, South Korea and other countries.



本公司與 NASDAQ 共同舉辦 ETF 論壇
ETF Forum Held Jointly by the TWSE and the NASDAQ