

2019 年工作目標

>> 壹、發行市場業務

- 一、持續拜訪公開發行公司，並辦理上市宣導說明會，推動國內優良企業上市。
- 二、開拓新南向地區及潛力目標市場，積極赴外辦理招商活動。
- 三、研究發展新類型 ETF，並持續推動 ETN 上市，俟證券商向金融監督管理委員會及本公司申報發行 ETN，最快可望於 2019 年 4 月底掛牌上市。
- 四、配合「新版公司治理藍圖」之策略目標，依規劃進度執行公開資訊觀測站相關揭示之改善措施，包括英文版 MOPS 相關資訊改善措施之上線等。
- 五、輔導上市公司深化公司治理，實施質化評鑑指標，及按產業或以適當之分類方式公布評鑑結果，檢討並推廣公司治理指數及永續指數之效度及運用，及研議修正機構投資人盡職治理守則等。

Work goals for 2019

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>> I. Issuance Market Business

1. Continue to visit public firms, hold listing promotion meetings, and urge domestic excellent firms to go listed.
2. Expand new southbound regions and potential target markets plus aggressively go overseas to attract foreign investment.
3. Develop new types of ETFs and continue to push for ETN listing and brokers may apply to the Financial Supervisory Commission and TWSE for issuance of ETNs, which are expected to be listed in April 2019 at the soonest.
4. To tie in with the strategic goals of the latest "Corporate Governance Blueprint", implement related improvement measures on the Market Observation Post System (MOPS) as scheduled, including on-line posting of MOPS related information improvement measures in the English version.
5. Give guidance to listed firms on reinforcing their governance; implement qualitative evaluation indexes; announce evaluation results by sector or in an appropriate classification method; review and promote validity and application of corporate governance indexes and sustainable indexes; develop and revise the Stewardship Principles for Institutional Investors, and more.

>> 貳、交易市場業務

- 一、盤中全面逐筆交易將於 2020 年 3 月 23 日起實施，為使市場提前適應變革，預訂 2019 年 3 月推出「擬真平台」，模擬未來逐筆交易委託、成交等作業，使投資大眾在新制上線前，了解及熟悉逐筆交易相關配套措施。
- 二、研議盤中零股交易制度，規劃盤中交易時段提供以股為交易單位之買賣管道，俾利投資人可於盤中時段買賣零股，並規劃低流動性股票造市制度。
- 三、為使市場資訊對等，提供投資人更多參考資訊，於官網設立「違約公告專區」，對於符合條件之個股重大違約資訊，揭露申報證券商名稱、個股名稱以及違約金額。
- 四、推廣證券商線上開戶作業、鬆綁證券商推介客戶買賣有價證券業務，並配合證券商 2019 年 1 月起適用 IFRS16 及 ETN 發行，辦理相關電腦系統規劃。另因應 2019 年起實施金融服務業公平待客原則評核機制，規劃證券商作業缺失評核事宜。

>> II. Trading Market Business

1. Continuous Trading is to be implemented on March 23, 2020. In order to adapt the market to the change, a simulation platform is expected to be rolled out in March 2019 to replicate continuous trading orders, completing transactions, and other details. In so doing, the investing public will be able to understand and familiarize themselves with the new trading rules and related supporting measures before the initiative goes on-line.
2. Develop intraday odd-lot trading and plan to provide a trading channel using shares as trading units during the intraday trading period so that investors can buy and sell odd lots intraday. Plan a market making system for low liquidity stocks.
3. In order to make market information transparent, provide more reference information to investors. Set up a "Default Notice Section". For individual stocks with major default information that meet required conditions, the name of the reporting broker, the name of the individual stock and the default amount will be revealed.
4. Promote broker online account opening operation, and relax the regulations governing securities firms recommending trades in securities to customers. As IFRS16 and ETN issuance apply to brokers starting in January 2019, undertake related computer system planning. As the "Principle for Financial Service Industries to Treat Clients Fairly" evaluation mechanism is implemented in 2019, develop a broker operation error evaluation.

>> 參、資訊應用與服務

- 一、為因應推動金融科技，且本公司負責全國性的資訊系統，擁有敏感性的業務資料及個人資料，為關鍵基礎設施的提供者，已成立資安專責單位，將安全性列為重點工作。
- 二、蒐集 FinTech 發展趨勢與全球交易所區塊鏈應用。
- 三、因應有價證券買賣單價得至萬元以上，及證券商發展多元化交易商品小數點位數之需求，擴充集中交易市場所有系統價格欄位長度至 5 位整數加 4 位小數，預定 2020 年完成。
- 四、配合交易系統委託電腦主機作業系統改換為 Linux 系統，提升委託主機整體運作效能，將進行壓力測試及市場會測，以維護市場交易安全。
- 五、配合 2020 年盤中全面逐筆交易上線，考量使用主機共置之需求增加，規劃適時新增機房環境設施及網路等設備，以滿足主機共置用戶需求。
- 六、為提升網際網路用戶端與本公司對外服務網站的連線存取安全性，強化網站防護力，調整既有的連線方法，改為「全面」採用 HTTPS 協定來傳輸資料。
- 七、提升公司網站服務內容與品質，強化新版網路資訊商店客戶服務，並與臺北國際金融資訊協會合作，辦理「證券市場資訊產業發展調查」。



>> III. Information Application & Service

1. We are responsible for information systems across the country and possess sensitive business and personal information. We are also a provider for key infrastructure. In response to FinTech, we have set up a dedicated information security unit, which will make security a priority.
2. Gather FinTech development trends and application of global exchanges block chains.
3. The unit price for buying and selling securities is over TWD 10,000. Brokers develop the demand for diverse trading commodities to decimal points. Expand the price field length in all systems of the centralized exchange market to five integers plus four decimal points, which is estimated to be completed in 2020.
4. The trading system's order computer host operating system has been changed to a Linus system, and thereby enhancing its overall efficiency. We will conduct a stress test and market test to maintain market trading security.
5. Continuous Trading will be put in place in 2020. As the demand for using co-location services increases, we plan to add computer facilities, internet, etc, to the control room as demand increases to satisfy the needs of co-location users.
6. In order to enhance the access security of Internet users' connection with our external links, increase Website protection and adjust existing connection methods by adopting HTTPS protocol "overall" to transmit data.
7. Enhance the service content and quality of the TWSE website; reinforce the new Internet information store client service; cooperate with the Taipei International Financial Information Association; and undertake a "Securities Market Information Industry Development Survey".



2018 投資人關係高峰論壇 2018 Investor Relations Summit Forum

>> 肆、國際事務、推廣宣導及新商品開發

- 一、持續強化交易所網絡關係，從商品互掛、合編指數、人員互訪、共同行銷等面向，擴展臺灣資本市場版圖。規劃於 2019 年 3 月間邀請日韓首長來臺交流，俾增進我國與日韓之合作關係。另於國內及全球性或區域性金融中心，以及其他具潛力之市場，持續辦理臺灣投資說明會，以吸引國外資金投入臺股。
- 二、開發多元新商品，推動新種 ETF、新權證商品或其他新商品上市交易，並檢討 REITs 之稅制與法制架構。
- 三、期貨型權證成效檢討及建議報告於 2019 年 3 月底完成，並陳報主管機關。
- 四、建置 ETN 專區，強化新商品之推廣教育及資訊揭露，並藉由相關宣導活動與新聞曝光，提升投資大眾對新商品的認知，規劃舉辦證券商 ETF、ETN 交易及造市競賽、相關論壇、講座及說明會，擴大市場參與。

>> IV. International Affairs, Promotion & Marketing, and New Commodity Development

1. Continue to strengthen exchange network relations. Expand Taiwan's capital market domain in terms of cross-listings, co-compiled indexes, personnel exchange visits, joint marketing. We plan to invite executives from Japan and South Korea to enhance cooperation amongst the three markets in March, 2019. Regarding domestic and global or regional financial centers and other potential markets, continue to hold Taiwan investment roadshows to draw foreign funds to invest in Taiwan stocks.
2. Develop diverse new commodities, push for listing and trading of new ETF types, new warrant commodities or other new commodities. Discuss REITs and legal / tax system structures.
3. The futures warrants result review and feedback was completed in late March 2019 and reported to the competent authority.
4. Set up a special ETN platform and increase promotional education of new commodities and information disclosure. By related promotional activity and news exposure, we increase the public's awareness of new commodities by holding ETF, ETN trading and market making competitions. We have expanded market participation through forums, lectures, and roadshows.

>> 伍、其他業務

- 一、持續精進法務服務及優化法規分享知識庫。
- 二、提升議事與文書作業效能。
- 三、持續推動個人資料保護管理相關業務，協助就個資疑義個案提供法律協助。

>> V. Other Business

- 1. Continue to refine legal services and optimize the sharing of laws and regulation knowledge.
- 2. Enhance the efficiency of discussion and paperwork.
- 3. Continue to push for management of business related to personal information protection and assist law enforcement in suspected cases relating to personal information privacy.



財務概況

2018 年個體損益情形：營業收入 6,157,010 仟元，營業利益 2,286,546 仟元，稅後淨利 3,535,071 仟元，預算達成率 246%。

2018 年合併損益情形：營業收入 11,175,833 仟元，營業利益 5,231,594 仟元，稅後淨利 4,813,545 仟元。

Financial Overview

Profit and loss for separate entities in 2018 : operating revenue TWD 6,157.01 million, operating profit TWD 2,286.55 million, net income TWD 3,535.07 million, a budget achieving rate of 246%.

Consolidated profit and loss in 2018: operating revenue TWD 11,175.83 million, operating profit TWD 5,231.59 million, net income TWD 4,813.55 million.



台股 ETF 投資說明講座 Taiwan ETF Investment Seminar